

APPENDIX 4: Proposed start of year 2020-21 Corporate Risk Register

IMPACT	High		<p>8. Fast changing Government priorities impact our ability to resource and deliver our Corporate Strategy and react to opportunities, in particular uncertainty over future national park grant and implications of Government focus on investment in the North</p>	<p>1. Adverse exchange rate movements for Moorlife 2020 European funding</p> <p>2. Area of NP land safeguarded in environmental land management schemes reduces due to Brexit uncertainty and Countryside Stewardship issues leading to the potential loss of a range of grassland habitats</p> <p>3. Failure to achieve sustainable gross revenue income targets (£140k) for the PDNP</p> <p>4. Failure to develop nature recovery networks in the Peak District National Park</p> <p>9. Impact of the coronavirus pandemic on delivery of planned Corporate Strategy outcomes, the Authority's financial position, staff wellbeing and how we maintain the #PeakDistrictProud message of 'care, respect and enjoy' for all audiences within and outside the National Park both during lockdown and as we come out of it</p>
	Medium	6. Failure of a poorly maintained trails structure e.g. bridge, tunnel	<p>5. Failure to increase our audience's diversity both within and outside the National Park</p> <p>7. Failure to adequately protect and prepare for Cyber Security threats</p>	
	Low			
		Low	Medium	High
LIKELIHOOD				

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Risk Rating Legend

Impact	High	AMBER (closely monitor)	AMBER (manage and monitor)	RED (significant focus and attention)
	Med	GREEN (accept but monitor)	AMBER (management effort worthwhile)	AMBER (manage and monitor)
	Low	GREEN (accept)	GREEN (accept/review periodically)	GREEN (accept but monitor)
		Low	Med	High
Likelihood				

Outcome	Risk Description	Existing controls	Risk rating before mitigation L x I	Mitigating action	Risk rating with mitigating action L x I (Green, Amber or Red)					Timeframe of mitigating actions	Lead officer	How monitor/ indicator	Quarterly update	
					Start	Q1	Q2	Q3	Q4					
A sustainable landscape that is conserved and enhanced Financial risk, Outcome /delivery risk	1. Adverse exchange rate movements for Moorlife 2020 European funding	Capping Sterling budget	High x High RED	Consider hedging transaction	Impact	High					Periodic assessment	PN (Chief Finance Officer)	Chief Finance Officer Budget monitoring group Programme and Resources Committee or Authority	
					Likelihood	High								
					Rating	RED								

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						Start	Q1	Q2	Q3	Q4				
A sustainable landscape that is conserved and enhanced Outcome/ delivery risk	2. Area of NP land safeguarded in environmental land management schemes reduces due to Brexit uncertainty and Countryside Stewardship issues leading to the potential loss of a range of grassland habitats	National influencing for post Brexit agri/ environmental policies and support systems Local communications across the farming & land management industry NPMP work National pilot of ELMS universal scheme will start in 2021 and run for 3 years. National rollout of ELMS 2024.	High x High RED	Increase promotion of the service, working with agencies e.g. NFU, CLA, NE, EA, FC. Public payment for public goods/ benefits. Influencing role through PDNPA links and NPE's Future of Farming	Impact	High					On going	JRS (Director of Conservation and Planning)	Quarterly updates on progress	
					Likelihood	High								
					Rating	RED								

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						Start	Q1	Q2	Q3	Q4				
A National Park loved and supported by diverse audiences Financial risk, Outcome /delivery risk	3. Failure to achieve sustainable gross revenue income targets (£140k) for the PDNP (commercial income and donations including from the Foundation)	Commercial Development & Engagement service delivery plans. Authority-approved budget. Peak District National Park Foundation.	High x Medium AMBER	Marketing & Fundraising plan implementation to include: - Running £70kfor70 campaign (PDNP Foundation) - Reputation enhancement and profile raising activity - Corporate social responsibility projects e.g. Tarmac	Impact	High					Continuous assessment as part of BAU reporting Foundation report through trustees quarterly	AB (Director, Commercial Development & Engagement)	Non-trading income levels.	
					Likelihood	High								
					Rating	RED								

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						Start	Q1	Q2	Q3	Q4				
A National Park loved and supported by diverse audiences Outcome/delivery risk	5. Failure to increase our audience's diversity both within and outside the National Park.	Engagement programme definition – pilot areas Head of service recruitment	Medium x Medium AMBER	Develop an engagement plan aligned with the Glover Review recommendations and building on baseline evidence Develop a programme approach with selected partners to increase audience diversity at scale e.g. Accessible Peak District, infrastructure development at key touchpoints Ongoing contact with supporters and clients via social media and telephone	Impact	High					Ongoing See Recovery Plan Quarterly monitoring through BAU	AB (Director, Commercial Development & Engagement)	Through corporate strategy KPI reporting mechanism	
					Likelihood	High								
					Rating	RED								

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					Start	Q1	Q2	Q3	Q4				
A National Park loved and supported by diverse audiences Outcome /delivery risk, Financial risk, Reputation risk	6. Failure of a poorly maintained trails structure e.g. bridge, tunnel	Strategy and inspection contract of the trails structures in place since 2015 Strategy for high priority remedial works to trails structures as per the report	Medium x Low AMBER	Complete work as per the strategy	Impact	LOW					Work to be completed throughout the year Implementation ongoing	AB (Director, Commercial Development and Engagement)	Active management of implementation
					Likelihood	LOW							
					Rating	GREEN							

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						Start	Q1	Q2	Q3	Q4				
An agile and efficient organisation Outcome/ delivery risk, Reputation risk	7. Failure to adequately protect and prepare for Cyber Security threats	Client and Server access controls; anti-virus; anti-spam; user access controls; locked down devices; storage encryption; active managed firewalls; Mobile device management; email and web filtering and monitoring; user awareness training; comprehensive backup and disaster recovery provisions; penetration testing and vulnerability scanning.	High x Medium Amber	Network Access Control (NAC); further user training and scenario testing; intra-service firewall reviews; Removable device controls; IT 'run books development; investigation of external support for incident management and response; Security assessment reviews; skills training.	Impact	Medium					See Service Risk Register	DH (Director of Corporate Strategy and Dev'ment)	Regularly reviewed through SLT monitoring and quarterly performance management	
					Likelihood	Medium								
					Rating	Amber								

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All outcomes Outcome /delivery risk, Financial risk, Reputation risk	8. Fast changing Government priorities impact our ability to resource and deliver our Corporate Strategy and react to opportunities, in particular uncertainty over future national park grant and implications of Government focus on investment in the North	Working collectively with other English NPs on progressing the NPE road map in response to the Landscapes Review report	Medium x High	10 English NPAs have agreed the collective focus for our road map as: national parks to be leading nature recovery; shaping the future of farming; being national parks for everyone; and being leaders in tackling the climate change emergency. As well as collectively engaging with Defra to secure certainty on future national park grant. Working with LEAs and devolved administrations of our constituent authorities to help shape future government investment towards green growth	Impact	High					Ongoing	SF (Chief Exec)	Budget report for national park grant Success of the roadmap in gaining traction with Defra	
					Likelihood	Medium								
					Rating	AMBER								

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						Start	Q1	Q2	Q3	Q4				
All outcomes Outcome /delivery risk, Financial risk, Reputation risk	9. Impact of the coronavirus pandemic on delivery of planned Corporate Strategy outcomes, the Authority's financial position, staff wellbeing and how we maintain the #PeakDistrictProud message of 'care, respect and enjoy' for all audiences within and outside the National Park both during lockdown and as we come out of it	Government legislation and guidance Working with partners via Local resilience forums Monitoring impact on our people Monitoring impact on our finances	High x High	Seeking to reduce costs Use of the Governments support schemes (such as the Job Retention Scheme) Outturn – support from reserves from 19/20 resources Good recovery planning in line with government guidance Working closely with local partners and nationally	Impact	High					Recovery plan developed and implemented in Q1 Monthly financial monitoring of income shortfalls in 2020-21 Mid-year review As yet unknown government claim timescales	Chief Exec SLT, RMM, quarterly performance monitoring NP CEOs Financial information (monthly) Chairs and vice chairs meeting Monitoring of impact on 2020/21		
					Likelihood	High								
					Rating	RED								