

APPENDIX 4: Corporate Risk Register 2021/22 – start of year

IMPACT	High	<p>3. Failure to achieve sustainable gross revenue income targets (£260k) for the PDNP (commercial income and donations including from the Foundation)</p> <p>4. Failure to develop nature recovery networks in the Peak District National Park</p> <p>10. Potential impact on national park purposes if the A57/A628 Mottram Hollingworth tunnel doesn't go ahead</p> <p>12. Failure to influence the design of Farming in Protected Landscapes Fund (FiPL) so that it supports the range of projects that help farmers, land managers and land owners to prepare for ELM and improves access opportunities and sustainable business growth. Failure to implement the effective delivery of FiPL including appropriate recruitment and the reputational risk to the Authority if the programme is not a success</p> <p>13. Climate change impacts: fires could lead to local emergency</p>	<p>2. Area of NP land safeguarded in environmental land management schemes reduces due to Brexit uncertainty and continuing Countryside Stewardship issues leading to the potential loss of a range of grassland habitats</p> <p>9. Reduced core funding for MFFP (£55k deficit) leading to insufficient funding for core team and loss of key personnel, impacting delivery of elements of the Corporate Strategy and National Park Management Plan</p>	
	Medium	<p>1. Four Principal financial risks within the Moorlife 2020 European funded project: exchange rate movements; the sterling ceiling set for the total project budget; the contractual treatment of partner contributions; and the possibility of expenditure being found ineligible</p> <p>6. Implications of the Landscapes Review 2019</p> <p>7. Impact of the coronavirus pandemic on delivery of planned Corporate Strategy outcomes, the Authority's financial position, staff wellbeing and how we maintain the #PeakDistrictProud message of 'care, respect and enjoy' for all audiences within and outside the National Park both during lockdown and as we come out of it</p> <p>8. Not being financially stable in the medium term due to uncertainty of national park grants</p>	<p>5. Failure to adequately protect and prepare for Cyber Security threats</p> <p>11. Not achieving volunteer hours due to Covid-19 impacts, limited volunteering opportunities and suspension of volunteer recruitment to new volunteering roles</p>	
	Low			
		Low	Medium	High
LIKELIHOOD				

Corporate Risk Register 2020/21

Outcome	Risk Description	Existing controls	Risk rating before mitigation L x I	Mitigating action	Risk rating with mitigating action L x I (Green, Amber or Red)					Timeframe of mitigating actions	Lead officer	How monitor/ indicator	Quarterly update
					Start	Q1	Q2	Q3	Q4				
A sustainable landscape that is conserved and enhanced	2. Area of NP land safeguarded in environmental land management schemes reduces due to Brexit uncertainty and continuing Countryside Stewardship issues leading to the potential loss of a range of grassland habitats	<p>National influencing for post Brexit agri-environmental policies and support systems</p> <p>Local communications across the farming and land management industry</p> <p>Agri-environment scheme promotion and support for farmers and land managers through the 44 Protected Landscape organisations</p> <p>NPMP work</p> <p>Environmental Land Management (ELM) Defra Test and Trial using the National Character Area approach</p> <p>National pilot of ELM Sustainable Farm Incentive phase 1 will start in 2021 with phase 2 (with more upland options) being rolled out in 2021. A national pilot for Local Nature Recovery is being developed for later in 2021/22. There is also call for up to 10 Landscape Recovery pilots in 2021. National rollout of ELM is planned for late 2024</p>	High x High RED	<p>Increase promotion of the service, working with agencies e.g. NFU, CLA, NE, EA, FC, Protected Landscape organisations</p> <p>Public payment for public goods/ benefits</p> <p>Influencing role through PDNPA links and NPE's Future of Farming, national stakeholder meetings and through the forthcoming Farming in Protected Landscapes Programme</p>	Impact	High					On going	SLF (Head of Landscape)	Quarterly updates on progress
					Likelihood	High							
					Rating	RED							

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					Start	Q1	Q2	Q3	Q4					
A National Park loved and supported by diverse audiences	3. Failure to achieve sustainable gross revenue income targets (£260k) for the PDNP (commercial income and donations including from the Foundation)	Service delivery plans Authority-approved budget Peak District National Park Foundation	High x Medium AMBER	Revamped online shop and new products Resumption of Covid suspended trading activities at earliest opportunity Development and promotion of Foundation fundraising plan and project pipeline Bakewell and Derwent Visitor Centre refit and upgrades	Impact	High					Q1 – spend per head increase however lower footfall due to Covid restrictions Q2 and Q3 – support by additional part time officer to secure more Peak Partners Q3 – enhance trading offer and visitor engagement	SW (Head of Engagement)	Trading and fundraising income levels.	
					Likelihood	Medium								
					Rating	AMBER								

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					Start	Q1	Q2	Q3	Q4					
An agile and efficient organisation	5. Failure to adequately protect and prepare for Cyber Security threats	Client and Server access controls; anti-virus; anti-spam; user access controls; locked down devices; storage encryption; active managed firewalls; Mobile device management; email and web filtering and monitoring; user awareness training; comprehensive backup and disaster recovery provisions; penetration testing; vulnerability scanning; Network Access Control (NAC); and patch management.	High x Medium	User training and scenario testing	Impact	Medium					See Service Delivery Plan	EF (Head of Information and Performance Management)	Through the security incident log. Significant failures or breaches will be escalated to Management Team The risk area is assessed by the Authority's Internal Auditors when developing the annual programme of audit work to be undertaken	
			Amber	Intra-service firewall reviews			Likelihood	High						
				Removable device controls IT 'run books development Investigation of external support for incident management and response Security assessment reviews Skills training Vulnerability and activity reporting	Rating	AMBER								

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					Start	Q1	Q2	Q3	Q4					
All outcomes	7. Impact of the coronavirus pandemic on delivery of planned Corporate Strategy outcomes, the Authority's financial position, staff wellbeing and how we maintain the #PeakDistrictProud message of 'care, respect and enjoy' for all audiences within and outside the National Park both during lockdown and as we come out of it	<p>Government legislation and guidance</p> <p>Working with partners via Local resilience forums</p> <p>Monitoring impact on our people</p> <p>Monitoring impact on our finances</p>	High x High	<p>Seeking to reduce costs</p> <p>Use of the Governments support schemes (such as the Job Retention Scheme)</p> <p>Outturn – support from reserves from 19/20 resources</p> <p>Good recovery planning in line with government guidance</p> <p>Working closely with local partners and nationally</p>	Impact	Medium					<p>Easing of lockdown planning framework in place at strategic, tactical and operational level</p> <p>RMM quarterly monitoring – people, money, outcomes - and monitoring of income impact and COVID-19 reserve use</p> <p>6 month performance monitoring in place for corporate strategy delivery</p>	SF (Chief Exec)	<p>RMM, quarterly performance monitoring – on people, money outcomes</p> <p>Authority 6 monthly performance reporting</p>	
					Likelihood	Medium								
					Rating	AMBER								

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					Start	Q1	Q2	Q3	Q4				
The Peak District National Park Authority is an agile and efficient organisation	8. Not being financially stable in the medium term due to uncertainty of national park grants	Budget set for 2021/22 includes £610k of savings	Medium x High AMBER	Workshop in March to highlight requirements to management team MTEP review early in 2021/22 Alternative options for savings from CFO	Impact	Medium					March	Head of Finance (JW)	Review presented to RMM April 2021 Budget monitoring Further report to RMM
					Likelihood	Medium					Q1		
					Rating	AMBER					Q2		

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A sustainable landscape that is conserved and enhanced	9. Reduced core funding for MFFP (£55k deficit) leading to insufficient funding for core team and loss of key personnel, impacting delivery of elements of the Corporate Strategy and National Park Management Plan	Partial funding of the core team. Core contributions secured via projects where possible Reduce hours / redundancy of core team	High x High RED	High level advocacy by PDNPA Management Team with Partners Identify funding opportunities that support the partnership infrastructure with bidding, supported as appropriate. Financial contingency in place for redundancy Monitoring of core income with Chief Finance Officer through MFFP Programme Tracker	Impact	High					Q1 Core Income monitoring added to MFFP Programme Tracker	Head of Moors for the Future Partnership (CD)	Core budget monitored monthly and reported to the CFO quarterly	
					Likelihood	High								
					Rating	RED								

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A sustainable landscape that is conserved and enhanced	10. Potential impact on national park purposes if the A57/A628 Mottram Hollingworth tunnel doesn't go ahead	Holding objection Good communication with Highways England and supportive partners in Friends of the Peak District and DCC	Medium x High AMBER	Assess and comment on Development Consent Order (DCO) Reaffirm objection at NPA if concerns upheld Seek support from partners	Impact	High					Q1 receipt of DCO Q1/Q2 take decision to object to Authority	BT (Head of Planning)	Quarterly updates on DCO position
					Likelihood	Medium							
					Rating	MEDIUM							

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All outcomes	11. Not achieving volunteer hours due to Covid-19 impacts, limited volunteering opportunities and suspension of volunteer recruitment to new volunteering roles	Communication and engagement plan to assist volunteer retention Volunteer activities restarting Working closely cross departmentally to ensure consistency Volunteer Engagement Ranger training programme underway	High x High	Implement volunteer action plan 2021-24 to better align opportunities for volunteering with PDNPA outcomes and increase diversity amongst our volunteers and offer Restart recruitment of new volunteer roles	Impact	Medium					Throughout 2021	TR (Head of People Management)	Volunteer hours and numbers from Better Impact	
					Likelihood	High								
					Rating	AMBER								

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A sustainable landscape that is conserved and enhanced	12. Failure to influence the design of Farming in Protected Landscapes Fund (FiPL) so that it supports the range of projects that help farmers, land managers and land owners to prepare for ELM and improves access opportunities and sustainable business growth. Failure to implement the effective delivery of FiPL including appropriate recruitment and the reputational risk to the Authority if the programme is not a success	Involvement in the Defra Core Working Group developing the details of the programme	High x High RED	Continue to prioritise involvement in the Defra Core Working Group and other groups to ensure the scheme is delivered successfully e.g. subsidy regime control, avoidance of double funding	Impact	High					Ongoing to 31 March 2022	SLF (Head of Landscape)	
					Likelihood	Medium							
					Rating	AMBER							

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A sustainable landscape that is conserved and enhanced	13. Climate change impacts: fires could lead to local emergency	Ranger resource, staff and equipment FOG – partnership working	MxH	Maintain FOG and ranger resource New fire watch volunteer role Training event in Spring 2021	Impact	High					Training event Spring 2021	SW (Head of Engagement)	Quarterly reporting
					Likelihood	Medium							
					Rating	AMBER							