

APPENDIX 4: Corporate Risk Register 2022/23 – Start of the Year

IMPACT	High	<p>Post Covid economy and labour market (such as increase in NICs, inflation and cost of fuel/energy, employee mobility driving higher wages) impacts on PDNPA ability to attract and retain staff (<i>ref: 22/23A</i>)</p>	<p>Failure to develop the 1 Peak District Nature Recovery Plan with partners which works with and complements Local Nature Recovery Strategies. (<i>ref. 20/21D updated start of year 22/23</i>)</p> <p>Potential impact on National Park purposes from a number of individual network improvements along the A57/A628 corridors (<i>ref. 21/22D updated start of year 22/23</i>)</p> <p>Not achieving the national performance standards for determining planning applications in a timely manner (<i>ref: 22/23C</i>)</p> <p>Failure of continued farmer and land manager engagement with the Farming in Protected Landscapes (FiPL) programme and failure to demonstrate that local flexibility under a national framework improves delivery of local priorities. (<i>ref. 21/22F updated start of year 22/23</i>)</p> <p>Sustained impact of the coronavirus pandemic on the health and wellbeing of staff (<i>ref: 22/23B</i>)</p> <p>Following notification of a flat cash settlement for the National Park Grant for years 2022/23, 2023/24 and 2024/25, the Medium Term Financial Plan shows that the current budgets are unsustainable, therefore there is a risk to the Authority of not making the necessary cost reduction to balance the 2023/24 budget and beyond to 2025/26 (<i>ref: 22/23D</i>).</p>	<p>Area of NP land safeguarded in Environmental Land Management (ELM) schemes does not increase due to continuing uncertainty leading to potential environmental loss particularly grassland habitats. (<i>ref. 20/21B updated start of year 22/23</i>)</p> <p>Reduced core funding for MFFP (£55k deficit) leading to insufficient funding for core team and loss of key personnel, impacting delivery of elements of the Corporate Strategy and National Park Management Plan (<i>ref. 21/22C</i>)</p>
	Medium		<p>Four Principal financial risks within the Moorlife 2020 European funded project: exchange rate movements; the sterling ceiling set for the total project budget; the contractual treatment of partner contributions; and the possibility of expenditure being found ineligible (<i>ref. 20/21A</i>)</p> <p>Implications of the Landscapes Review 2019 (<i>ref. 21/22A</i>)</p>	
	Low			
		Low	Medium	High
LIKELIHOOD				

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Risk Rating Legend

Impact	High	AMBER (closely monitor)	AMBER (manage and monitor)	RED (significant focus and attention)
	Med	GREEN (accept but monitor)	AMBER (management effort worthwhile)	AMBER (manage and monitor)
	Low	GREEN (accept)	GREEN (accept/review periodically)	GREEN (accept but monitor)
		Low	Med	High

Likelihood

Outcome: A sustainable landscape that is conserved and enhanced Lead officer: JW (Chief Finance Officer)												
Risk Description	Existing controls	Risk rating before mitigation L x I	Mitigating action	Risk rating with mitigating action L x I (Green, Amber or Red)					Timeframe of mitigating actions	How monitor/ indicator	Quarterly update	
					Start	Q1	Q2	Q3				Q4
Four Principal financial risks within the Moorlife 2020 European funded project: exchange rate movements; the sterling ceiling set for the total project budget; the contractual treatment of partner contributions; and the possibility of expenditure being found ineligible (ref. 20/21A)	Capping Sterling budget	High x High Red	Consider hedging transaction Project has claimed 70% of Euro funding, and interest rates more favourable; therefore, exchange rate risk has fallen Reserve of £500k to mitigate impacts of ineligible expenditure. Continuous monitoring of budget	Impact	Medium					Periodic assessment	Chief Finance Officer Budget monitoring group Programme and Resources Committee or Authority	
				Likelihood	Medium							
				Rating	Amber							

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Outcome: A sustainable landscape that is conserved and enhanced Lead officer: SLF (Head of Landscape)											
Risk Description	Existing controls	Risk rating before mitigation L x I	Mitigating action	Risk rating with mitigating action L x I (Green, Amber or Red)					Timeframe of mitigating actions	How monitor/ indicator	Quarterly update
				Start	Q1	Q2	Q3	Q4			
Area of NP land safeguarded in Environmental Land Management (ELM) schemes does not increase due to continuing uncertainty (on-going implications of Brexit and Covid-19; new ELM scheme details including payment levels not being clear) leading to potential environmental loss particularly grassland habitats.	<p>National influencing for post Brexit agri-environmental policies and support systems including further improvements to the existing Countryside Stewardship (CS) scheme and the design and payment levels of the new ELM schemes.</p> <p>Continuing to deliver NPE's Environmental Land Management Delivery Plan for National Parks.</p> <p>Local communications across the farming & land management industry</p> <p>Agri-environment & Environmental Land Management (ELM) scheme promotion and support for farmers & land managers through the 44 Protected Landscape organisations.</p> <p>Input to the NPMP review.</p> <p>Support farmers & land managers to access the existing CS scheme, Farming in Protected Landscapes (FiPL) and to participate/ learn about the national ELM pilots and roll out (Sustainable Farm Incentive, Local Nature Recovery & Landscape Recovery).</p>	High x High RED	<p>Influencing role through PDNPA links and NPE's Future of Farming, national stakeholder meetings.</p> <p>Increase promotion of the service, working with agencies e.g. NFU, CLA, NE, EA, FC, Protected Landscape organisations</p> <p>Increase promotion of the opportunities for increased public good delivery.</p> <p>Promoting the results of the White Peak Defra ELM test and trial and the practical field trials</p>	Impact	High					On-going Quarterly reporting	
				Likelihood	High						
				Rating	Red						

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Outcome: A sustainable landscape that is conserved and enhanced												
Lead officer: BJT (Head of Planning)												
Risk Description	Existing controls	Risk rating before mitigation L x I	Mitigating action	Risk rating with mitigating action L x I (Green, Amber or Red)						Timeframe of mitigating actions	How monitor/ indicator	Quarterly update
				Start	Q1	Q2	Q3	Q4				
Potential impact on National Park purposes from a number of individual network improvements along the A57/A628 corridors (ref. 21/22D updated start of year 2022/23)	Objection formalised by Authority	Medium x High Amber	Use submitted comment on Development Consent Order (DCO) to provide strong input to Public Inquiry	Impact	High					Q1 Input to Public Inquiry Maintain dialogue with National Highways and seek to re-establish relationship and dialogue with Transport for the North re national thinking on east-west connectivity between city regions and across the National Park	Quarterly updates on DCO and Inquiry position	
	Good communication with National Highways and supportive partners in Friends of the Peak District and DCC		Review Statement of Common Ground with National Highways	Likelihood	Medium							
	Strong inputs to Inquiry into A57 Link Roads scheme		Seek support from partners	Rating	Amber							

Outcome: A sustainable landscape that is conserved and enhanced												
Lead officer: CD (Head of Moors for the Future Partnership)												
Risk Description	Existing controls	Risk rating before mitigation L x I	Mitigating action	Risk rating with mitigating action L x I (Green, Amber or Red)						Timeframe of mitigating actions	How monitor/ indicator	Quarterly update
				Start	Q1	Q2	Q3	Q4				
Reduced core funding for MFFP (£55k deficit) leading to insufficient funding for core team and loss of key personnel, impacting delivery	Partial funding of the core team. Core contributions secured via projects where possible	High x High Red	High level advocacy by PDNPA Management Team with Partners	Impact	High					Across 2022/23	Tracker monitored by RMM monthly Core budget monitored monthly and	
	Reduce hours / redundancy of core team		Identify funding opportunities that support the partnership infrastructure with bidding, supported as appropriate. Financial	Likelihood	High							

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of elements of the Corporate Strategy and National Park Management Plan (ref. 21/22C)			contingency in place for redundancy Monitoring of core income with Chief Finance Officer through MFFP Programme Tracker	Rating	Red						reported to the CFO quarterly	
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Outcome: A sustainable landscape that is conserved and enhanced
Lead officer: SLF (Head of Landscape)

Risk Description	Existing controls	Risk rating before mitigation L x I	Mitigating action	Risk rating with mitigating action L x I (Green, Amber or Red)						Timeframe of mitigating actions	How monitor/ indicator	Quarterly update
					Start	Q1	Q2	Q3	Q4			
Failure of continued farmer and land manager engagement with the Farming in Protected Landscapes (FiPL) programme and failure to demonstrate that local flexibility under a national framework improves delivery of local priorities.	Continuing to deliver NPE's Environmental Land Management Delivery Plan for National Parks. Continuing involvement in the Defra FiPL Core Working Group. Continue to promote FiPL and opportunities for farmers and land managers to access support and funding for projects which deliver FiPL climate, nature, people and place outcomes and NPMP priorities. Authority farm advisers continuing to support the delivery of FiPL.	High x Medium Amber	Focus on multi outcome projects that offer value for money, are deliverable and sustainable. Identify the wider outputs of engagement with FiPL e.g. farmers and land managers developing their ambition for public good delivery on their holding.	Impact	High					On-going to 31 March 2024 Uptake and outputs/ outcomes from FiPL funded projects	Quarterly reporting	
				Likelihood	Medium							
				Rating	Amber							

Outcome: All outcomes
Lead officer: AGM (Chief Exec)

Risk Description	Existing controls	Risk rating before mitigation L x I	Mitigating action	Risk rating with mitigating action L x I (Green, Amber or Red)						Timeframe of mitigating actions	How monitor/ indicator	Quarterly update
					Start	Q1	Q2	Q3	Q4			
Implications of the Landscapes Review 2019. Need to swiftly understand the implications on, and appropriately respond to, any funding, and policy and governance	Working collectively with other English NPs on progressing the NPE road map in response to the Landscapes Review report	Medium x High	10 English NPAs have agreed the collective focus for our road map as: national parks to be leading nature recovery; shaping the future of farming; being national parks for everyone; and being leaders in tackling the climate change emergency	Impact	Medium					Ongoing across 2022/23	Budget report for national park grant Success of the NPE delivery plans in gaining traction with	The 4 NPE delivery plans continue to be well received and each NPA is working on local delivery. Our local delivery is being reported to Members at P&R committee.

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<p>framework proposals. A risk the government response fails to help amplify our positive impact both locally and nationally. Alongside a risk that legislation in the form of the Environment and Agriculture Bills fails to recognise the importance of National Parks and role of National Park Authorities in supporting policies for nature recovery (ref. 21/22A)</p>			<p>As well as collectively engaging with Defra to secure certainty on future national park grant and identifying key principles for how any possible new National Landscapes Service can act in the best service of national parks</p>	Likelihood	Medium						<p>Defra and other Government departments and partners</p> <p>A governance and policy framework that helps amplify our local and collective national impact</p>
				Rating	Amber						

<p>Outcome: All outcomes Lead officer: TR (Head of People Management)</p>											
Risk Description	Existing controls	Risk rating before mitigation L x I	Mitigating action	Risk rating with mitigating action L x I (Green, Amber or Red)					Timeframe of mitigating actions	How monitor/ indicator	Quarterly update
					Start	Q1	Q2	Q3	Q4		
<p>Post Covid economy and labour market (such as increase in NICs, inflation and cost of fuel/energy, employee mobility driving higher wages) impacts on PDNPA ability to attract and retain staff (ref: 22/23 A)</p>	<p>Conditions of employment NJC for Local Government Services (Green Book) LG Pension Scheme PDNPA Purpose and location Investors in People award Values led organisation</p>	<p>L x H</p>	<ul style="list-style-type: none"> People is considered an area for future investment in MTFP Recognition and reward group to explore further options Engagement and Planning Business Change programmes Restructuring services. Annual negotiated pay agreement 	Impact	High					<p>Across 2022/23</p>	<p>Staff turnover rate</p> <p>Proportion of hard to fill vacancies</p>
				Likelihood	Low						

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Outcome: All outcomes
Lead officer: TR (Head of People Management)

Risk Description	Existing controls	Risk rating before mitigation L x I	Mitigating action	Risk rating with mitigating action L x I (Green, Amber or Red)						Timeframe of mitigating actions	How monitor/indicator	Quarterly update
				Start	Q1	Q2	Q3	Q4				
Sustained impact of the coronavirus pandemic on the health and wellbeing of staff (ref: 22/23 B)	<ul style="list-style-type: none"> Absence Management Policy Regular meetings with manager OHU referrals Derwent Rural Counselling referrals Emotional resilience 1-2-1 coaching Blended working principles 	MxH	Health and Wellbeing initiatives from IIP H&W action plan Move to 60% contracted hours in the workplace <ul style="list-style-type: none"> More social contact Better line management support People Live sickness reporting 'go live' Covid-related sickness included in triggers	Impact	High					Move from 40% to 60% on 16 May. IIP H&W report and recommendations by end of May	Monthly monitoring of sickness absence as part of payroll instructions. Authority 6 monthly performance reporting	
				Likelihood	Medium							
				Rating	Amber							

Outcome: All outcomes
Lead officer: BJT (Head of Planning)

Risk Description	Existing controls	Risk rating before mitigation L x I	Mitigating action	Risk rating with mitigating action L x I (Green, Amber or Red)						Timeframe of mitigating actions	How monitor/indicator	Quarterly update
				Start	Q1	Q2	Q3	Q4				
Not achieving the national performance standards for	Supporting staff Recruiting to key vacant posts	HxH	Continuing to support staff Business Change process	Impact	High							

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determining planning applications in a timely manner (ref: 22/23C)	Commissioned two consultants to progress planning applications Allocating cases appropriately across the team Dealing with cases on ability to progress rather than date order		Recruit to key vacant posts Ensure specialists allocate and respond to consultations in a timely manner Resource outside the service to put up site notices Quicker determination on refusals Redirect Planning Policy Team to planning applications for temporary period Commission third consultancy to progress planning applications	Likelihood	Medium															
				Rating	Amber															

Outcome: All outcomes
Lead officer: JW (Chief Finance Officer)

Risk Description	Existing controls	Risk rating before mitigation L x I	Mitigating action	Risk rating with mitigating action L x I (Green, Amber or Red)						Timeframe of mitigating actions	How monitor/ indicator	Quarterly update
					Start	Q1	Q2	Q3	Q4			
Not achieving the required cost reduction savings required to balance the revenue budgets for 2023/24 to 2025/26 as per the Medium Term Financial Plan (MTFP) (ref: 22/23D).	Balanced budget set for 2022/23. Savings made for the 2021/22 budget allowed for time to make strategic decisions.	HxH	Having an up to date MTFP. Cost reduction strategy agreed by RMM. MTFP standing item on RMM and Management Team. Timetable for Management Team to adhere to for making the necessary budget savings.	Impact	High					By December 2022 for the setting of the 2022/23 budget in February 2023	Monthly updates at RMM and Management Team Production of budget report for Members for February 2023 Authority meeting.	
				Likelihood	Medium							
				Rating	Amber							